



Our Client

Leading brokerage services firm specializing in credit derivative products, including single-entity credit default swaps, emerging market credit default swaps, credit indices, options on single-entity credit default swaps, options on credit indices, and credit index

The company was founded in 1987 and is headquartered in New York, with business primarily in North America, Europe, and Asia Pacific

Challenges

- Reduce costs related to audit and controls assessments
- Reduce risk associated with the current state highly manual, fragmented process
- Need Enterprise-wide visibility into the Risk and Compliance processes
- Leverage investments in technology to provide a foundation for Enterprise Risk Management

Results

- Improved management visibility into financial close controls by providing real-time reporting on GL close tasks performance by financial managers across the regions
- Reduced financial misstatement risk by replacing manual, spreadsheet based error-prone process with an automated checklist
- Delivered Enterprise Risk Management framework by enabling management and process owners to set key risk objectives and monitor risk indicators using simple dashboards
- Provided Risk Assessment Analytics to improve audit planning

**The Leading Enterprise Risk
Management Platform.
One source of truth for all your Audit,
Risk, and Compliance requirements.**

